# REQUEST FOR PROPOSAL For

# Orange Grove/Rancho Mesa Verde Preliminary Engineering Design Report



# Requested by:

Yuma County Department of Development Services 2351 W. 26<sup>th</sup> Street Yuma, AZ 85364

January 27, 2015

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### **PUBLIC NOTICE**

#### YUMA COUNTY

#### **REQUEST FOR PROPOSAL**

Yuma County hereby solicits proposals from qualified Proposers for the purpose of preparing a <u>Preliminary Engineering Design Report for Wastewater</u>. Proposals will be received until 4:00 p.m. local Arizona time on March 3, 2015 at Yuma County Department of Development Services, 2351 W. 26<sup>th</sup> Street, Yuma, AZ 85364.

The successful Proposer will be required to provide a Preliminary Engineering Design Report for a wastewater collection and conveyance system from the Orange Grove Mobile Manor and Rancho Mesa Verde Subdivisions to the City of Somerton's municipal treatment system and prepare an Environmental Report for application to USDA Rural Development for funding to construct the project. The proposal package may be requested by e-mail from Diana Veloz, Grants Administrator at <a href="mailto:diana.veloz@yumacountyaz.gov">diana.veloz@yumacountyaz.gov</a>, Yuma County website at www.yumacountyaz.gov, or by phone (928) 817-5026, Yuma County Department of Development Services.

This announcement does not commit Yuma County to award a contract or to pay any costs incurred in the preparation of proposals. Yuma County reserves the right to accept or reject, in whole or in part, all proposals submitted and/or to cancel this announcement.

Yuma County

Administration - Grants

Community Planning Coordinator

Published in Yuma Daily Sun: January 29-30, 2015 & February 6-7, 2015

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Yuma County Administration - Grants

Nancy Ngai Community Planning Coordinator

Published in Yuma Daily Sun: January 29-30, 2015 & February 6-7, 2015

### **GENERAL INFORMATION**

This Request for Proposal (RFP) package contains necessary instructions related to submitting a proposal for a contract with Yuma County. Interested parties are encouraged to review the RFP package in detail prior to contacting the Grants Administrator. If the RFP is retrieved from the Yuma County Website <a href="https://www.yumacountyaz.gov">www.yumacountyaz.gov</a>, Consultant must register their firm with the Grants Administrator by providing their contact information, firm name and address in order to receive any modification to the RFP.

Written questions concerning this RFP package should be addressed by email to:

Diana Veloz (Grants Administrator)
Yuma County, Administration - Grants
2351 W. 26<sup>th</sup> Street
Yuma, AZ 85364
(928) 817-5156
diana.veloz@yumacountyaz.gov

#### **Objective**

The objective is to prepare preliminary engineering design report for the wastewater collection and conveyance system from the Orange Grove Mobile Manor (OG) and the Rancho Mesa Verde (RMV) Subdivisions along County 16<sup>th</sup> Street (U.S. Route 95) to the City of Somerton's municipal treatment system. The services will include, but not be limited to, providing a Preliminary Engineering Design Report (PER), and preparing a Environmental Report (ER) for application to the USDA Rural Development Agency. Future phases of this project will be procured by the Improvement District (not yet established). The qualifications of the firm's knowledge and experience with County Improvement District processes will be considered for this phase of the project.

#### Federal Fund Usage

Proposers are hereby notified that federal funds are being used to assist in the design of this project and, accordingly, all consultants will be required to comply with all applicable federal laws, including but not limited to, the Copeland "Anti-Kickback" Act (18 U.S.C. 874) as supplemented by Department of Labor Regulations (29CFR, Part 3). Consultant, Sub-consultant, and associates shall comply with any state or local EEO requirements, where applicable, to this project.

Yuma County has been awarded a Community Development Block Grant (CDBG #118-15) through the Arizona Department of Housing funded by the U.S. Department of Housing & Urban Development (HUD) for this first phase of the project.

#### Agency

Yuma County is the agency sponsoring this phase of the project and the one who will be contracting for the work. All liaison and correspondence will be between the Consultant and Yuma County Administration - Grants.

#### **Sub-consultant and Associates**

Any sub-consultants or associates proposed to perform services on this project must be listed and made a part of the Consultant's proposal. Consultant is responsible for notifying Yuma County of any additional sub-consultants or associates after contracting with Yuma County. Written approval of all Consultants, sub-consultants or associates is required from Yuma County prior to their start of work.

#### **Compensation and Insurance**

Subsequent to the selection of the Consultant, an agreement is to be executed by and between the Consultant and Yuma County. This agreement will include the fee provisions, payment period, insurance, and additional contract requirements. Yuma County will require statutory workers' compensation insurance, professional and general liability insurance in ten times the contract amount, and auto insurance in the amount of \$1,000,000/\$300,000/\$1,000,000 (per person/per accident/property damage).

#### **Project Documents**

All documents including field investigation reports and notes, field survey notes, photographs, video tapes, mapping, right-of-way maps and descriptions, engineering calculations, original plans, cost estimates, meeting notes and minutes, computer runs, reference information supplied by Yuma County and all other information generated by the Consultant for this project are to be property of Yuma County and are to be delivered to Yuma County before final payment.

#### **Project Related Correspondence**

The Consultant shall furnish copies of all written correspondence between the Consultant and his sub-consultants and any other party pertaining specifically to the owner for their records within one (1) week of the receipt or mailing of said correspondence. The Consultant is not to submit plans to utilities or agencies when it is for relocation, approval or requesting permits without Yuma County's knowledge and review of the request.

#### **Quality Control**

The Consultant shall be responsible for the accuracy and completeness of all information gathered. The information obtained must be reviewed and verified by a qualified individual other than the originator.

#### **Protest Procedure**

The interested party shall file the protest in writing to: Diana Veloz, Yuma County Department of Development Services, 2351 W. 26th Street, Yuma, AZ 85364. Protests shall include the following information:

- 1. The name, address and telephone number of the interested party;
- 2. The signature of the interested party or the interested party's representative:
- 3. Identification of the solicitation or contract number;
- 4. A detailed statement of the legal and factual grounds of the protest including copies of relevant documents; and
- 5. The form of relief requested.

If the protest is based upon alleged improprieties in a solicitation that are apparent before the offer due date and time, the interested party shall file the protest before the offer due date and time. Otherwise, the interested party shall file the protest within 10 days after the county makes the procurement file available for public inspection.

Yuma County reserves the right to reject any or all proposals; to waive irregularities of information in any proposal; to re-advertise the request for proposal; and /or to take any steps determined prudent in order to resolve the protest.

#### **Questions**

If there are questions regarding the proposal, they can be directed to Diana Veloz, Yuma County Department of Development Services at (928) 817-5026.

#### **PROJECT INFORMATION**

#### **Project Location:**

OG and RMV Subdivisions along County 16<sup>th</sup> Street (U.S. Route 95).

#### **Project Description:**

The Consultant will evaluate the City of Somerton's current municipal treatment system capacity, capabilities, and the impact the added load from the project will have on their current wastewater collection system. Consultant shall prepare a PER and ER to be submitted to the USDA, Rural Development in order for Yuma County to secure funding for the necessary improvements to the area. Therefore, the reports must meet the requirements of USDA, Rural Development criteria. This project has subsequent phases and future engineering services will be procured by a future Improvement District yet to be established for this purpose.

#### **Project Background:**

The OG/RMV Subdivisions are Yuma County subdivisions within a few miles of the City of Somerton limits. These two subdivisions are low income residential areas served by a private water company and individual on-lot septic systems. Currently, the City of Somerton has a water project which the City will purchase the private water system and connect the system to the City's municipal water system. The Yuma County is assisting the residents in a proposed wastewater project which property owners will need to circulate petitions to establish an Improvement District. In order to facilitate the potential of obtaining federal funds to assist with the project, Yuma County is utilizing Community Development Block Grant funds for the preparation of the PER and ER for the purpose of submission to USDA, Rural Development. The PER will also provide property owners with a cost analysis of the wastewater project.

### **SCOPE OF WORK**

The following Scope of Work is intended to be a general description of the major tasks that are to be completed by the Consultant as part of this project. The Consultant will develop a complete PER and ER in accordance with the USDA Rural Development and federal requirements for application submission. Therefore, it is the Consultant's responsibility to familiarize themselves with the current requirements mandated by the USDA Rural Development for the PER and ER.

#### **Preliminary Engineering Design Report (PER)**

The Consultant will perform an engineering analysis of the wastewater connection for OG/RMV Subdivisions for collection and conveyance to the City of Somerton's municipal treatment system in accordance with USDA, Rural Development criteria for project funding request. It is the Consultant's responsibility to contact USDA, Rural Development in order to ensure the reports will meet their requirements for application for funding. The report will analyze the feasibility of constructing wastewater collection and conveyance lines within the OG/RMV Subdivisions and along County 16<sup>th</sup> Street (U.S. Route 95) to connect to the City of Somerton's municipal system. The report will also evaluate the City of Somerton's available capacity on their current system and the impact of the added load from the project which will include, but not be limited to, the following work elements:

<u>Other Technical Information</u> - The Consultant shall review, compile, and/or analyze the following technical information:

- 1. Geotechnical Investigations The Consultant shall review and interpret available geotechnical documentation relative to the project site and develop appropriate design criteria (e.g. consolidation and allowable bearing capacity). If existing geotechnical information is not sufficient to develop the required design criteria, the Consultant shall propose geotechnical field explorations and analyses deemed necessary to develop design criteria. The results of the geotechnical investigation shall be contained in the Geotechnical Report. The geotechnical investigation shall include all necessary laboratory testing of materials for design purposes.
- Topographical Information The Consultant shall perform surveying, as necessary, to obtain the topographical information required to complete the engineering design of the Upgrade to Water System. The Consultant may perform either topographical survey or aerial surveying to obtain this information. Access to land for surveying activities is the responsibility of the Consultant.

If an aerial survey is performed, the survey shall be flown in accordance with National Map Accuracy Standards at a photo-scale of 1"-300' for 1' contour intervals. For the aerial survey, the Consultant shall provide survey and control services in preparation for the survey that shall encompass the existing town site limits and extends 1/8 mile beyond the limits. The aerial surveyor shall also provide the digital terrain model developed in conjunction with the aerial survey and all topographical information provided shall be in digital format to allow use in AutoCAD14.

<u>Base Map Preparation</u> - The Consultant shall prepare a base map for the planning area that illustrates the extent of the service area and the overall layout of the proposed improvements. The base map shall have a scale of 1"-100' and shall accurately represents the existing right-of-ways, roads (paved and unpaved), ditches and canals, bridges, utility lines, etc. and all other normal planimetric features.

- 3. Cost Estimates The Consultant shall prepare a detailed estimate of probable construction costs for construction of the proposed improvements. The Consultant is advised to take any precautionary measures necessary to maintain the confidential nature of the detailed cost estimate prepared under this task. All estimates of construction costs shall reflect the current unit and unit prices at the time of submittal. Quantity surveys shall present separate quantities for each line item broken down into individual facilities and components thereof. The surveys shall be in sufficient detail to permit proper owner and USDA, Rural Development review and shall not include lump sum bid items that cannot be easily analyzed. The quantity surveys shall be priced with unit costs for labor, materials, and equipment, presented separately, currently prevailing in the vicinity of the project. The Consultant must ensure the cost analysis include cost pertaining to Improvement District processes and all connection charges required by the City of Somerton.
- 4. <u>Field Investigation</u> Perform all necessary field investigation that need to be done to verify and confirm compatibility and use in the design.
- 5. <u>Field Surveying</u> The Consultant is to complete all additional field surveying, provide horizontal and vertical control necessary for the project, provide maps and legal descriptions if necessary and required easement and right-of-ways, for engineering plan preparation and for baseline control and bench mark data including bench mark circuits, topographic surveys, cross sections, and detailed surveys for proposed improvements. Bench marks are to be based on U.S.G.S. datum.

#### **Environmental Report (ER)**

The Consultant will prepare an ER in accordance with the USDA Rural Development and federal requirements. The ER will include, but not be limited to, the following work elements:

- Land Use
- Floodplains and Wetlands
- Cultural and biological Resources
- Water Quality Issues
- Socio-economic Issues and Environmental Justice
- Air Quality
- Transportation
- Noise
- Obtain the Concurrence of applicable State Agencies, including DCR, DHR, DEQ, Game and Inland Fisheries, and USDA
- Address comments generated by State Agencies.

# PROPOSAL GUIDELINES

#### SUBMITTAL INFORMATION

Three (3) copies of the proposal are to be submitted by 4:00 p.m. on March 3, 2015 in accordance with the RFP to Yuma County Department of Development Services, 2351 W. 26<sup>th</sup> Street, Yuma, AZ 85364 and clearly marked OG/RMV Wastewater Project Attention: Diana Veloz.

#### PROPOSAL CONTENT

Proposals are not to be more than twenty (20) pages of <u>content</u> excluding other requirements identified on checklist. Transmittal sheet and table of contents shall be limited to one (1) page each and will not be included within the twenty (20) page limit. The proposal shall contain the following information to aid in the selection of the best qualified firm:

- A. Experience (20%): Itemize and briefly describe similar projects and work which have been successfully completed by your firm. Describe the size and experience of the professional and technical staff. Include names and phone numbers of client contacts. If sub-consulting associates are proposed, provide experience information.
- B. Qualifications (20%): Provide the name of the person who will be in charge of the project, and provide names of people who would be doing the majority of the work in addition to names of other key personnel that will work on the project. Provide the name of all sub-consulting associates who would be involved in completing the work. Provide qualifications of all personnel.
- C. Issues and Objectives (15%): Provide a description of the project issues and needs and the work necessary to meet the project's objective.
- D. Project Approach (15%): Provide a methodology of how you would approach the project and the scope of work you would intend to perform if awarded the contract.
- E. Time (5%): Provide a time schedule to complete the work after a notice to proceed is issued. Amount of the fees is not a consideration for selection and is to be submitted only after selection review committee has ranked the proposals.
- F. Familiarity with the Project Area (10%): Indicate the firm's familiarity with the general area and its knowledge of the particular work area.
- G. Prior and Current Work (15%): List any prior or current work you performed for any small community wastewater systems the past five (5) year which are funded through the USDA, Rural Development. List any previous County Improvement District projects completed by the current working staff in the past seven (7) years. Due to grant funding timeline, Consultant must be available to start project immediately after issuance of notice to proceed.

#### **EVALUATION & SELECTION**

Yuma County will designate a Proposal Review Committee to evaluate the proposals. In an effort to reach a decision concerning the best qualified Proposer, Yuma County reserves the right to evaluate any additional factors it deems appropriate, whether or not such factors have been stated on this page. Discussions with Proposers may be held at any time, either

formally or informally as necessary to clarify proposals or portions of proposals. Expected timeline for award of contract is within forty-five (45) days after submission of proposals.

If a Proposer considers certain information confidential or proprietary, a statement identifying such information shall accompany the proposal. The Procurement Officer shall make a ruling before releasing said information. Yuma County will not be held accountable if parties other than Yuma County obtain material from responses with the written consent of the Proposer. Yuma County reserves the sole right to conduct the evaluation and selection of the successful proposal.

After final selection of best qualified Proposer for the project, contract negotiations will be initiated with the highest-ranking Proposer. If a satisfactory contract cannot be negotiated, Yuma County may at its sole discretion, terminate negotiations with the selected Proposer and begin contract negotiations with the next highest ranking Proposer. Proposals are to be submitted to Yuma County with the complete understanding that evaluation and selection by Yuma County is final and not subject to review. Yuma County may, at its sole discretion, reject any or all proposals submitted in response to this RFP.

# **SUBMITTAL CHECK LIST**

This page identifies all the portions of this RFP package that <u>must be</u> completed and returned. To speed the processing of your response, please return the required items in the same order indicated on the checklist. If any applicable items are missing from the submitted proposal, Yuma County retains the right to immediately reject the proposal from further consideration.

 BUSINESS INFORMATION
 CERTIFICATION STATEMENT
 PROPOSAL CONTENT (in the order identified under Proposal Guideline)
ADDENDUM (if applicable)

# **PROPOSER BUSINESS INFORMATION**

Proposer's legal name	e:	
DBA if different from a	above:	
Name of contact pers	on:	Phone #:
Address:		
City:	State:	Zip:
Proposer is: (check of	ne)	
1. ( ) Limited Pa	rtnership, attach a copy of th	e Certificate of Limited Partnership.
2. ( ) Sole Propr	ietor, attach a resume.	
Corporatio submitted with the A	n Commission or the most to the Arizona Corporation	e of Good Standing from the Arizona recent copy of your Annual Report Commission or proof of registration sion, if a foreign corporation (i.e., not
Federal Employer Ide	ntification (FEIN) or Social S	ecurity No.:
DUNS Number:		
Updated registration v  ( ) Yes ( ) No	vith SAM.gov?	
Is this a minority or wo ( ) Yes ( ) No	omen owned / controlled orga	anization?
	licensing or certification:  nit a copy of your current lice	ense/certification with your proposal.
Number of years Prop	ooser has been providing this	s service:

#### CERTIFICATIONS

#### **CIVIL RIGHTS**

The undersigned is fully aware that this contract is wholly or partially federally funded, and further, agrees to abide by the:

**Civil Rights Act of 1964, Title VI,** as amended, that provides no person on the basis of Race, Color, or National Origin shall be excluded from participation, denied program benefits, or subjected to discrimination.

And, Civil Rights Act of 1968, Title VIII, as amended, will not discriminate in housing on the basis of Race, Color, Religion, Sex, or National Origin.

And, Rehabilitation Act of 1973, Section 504, as amended, that no otherwise qualified individual shall solely by reason of his or her handicap be excluded from participation and/or employment, denied program benefits, subjected to discrimination under any program receiving federal funds;

And, Housing and Community Development Act of 1974, Section 109, as amended, that no person shall be excluded from participation (including employment), denied program benefits, or subjected to discrimination on the basis of Race, Color, National Origin, Sex, Age, and Handicap under any program or activity funded in whole or part under Title I (CDBG) of the Act.

**And, Age Discrimination Act of 1975,** as amended, that no person shall be excluded from participation, denied program benefits, or subjected to discrimination on the basis of age under any program or activity receiving federal funds.

And, Americans with Disabilities Act of 1990, as amended, that there shall be no employment discrimination against "qualified individuals with disabilities."

And, Executive Order 11063, that no person shall, on the basis of race, color, religion, sex, or national origin, be discriminated against in housing and related facilities provided with federal assistance, or lending practices with respect to residential property when such practices are connected with loans insured or guaranteed by the federal government.

And Executive Order 11246, as amended, that no person shall be discriminated against, on the basis of race, color, religion, sex, or national origin, in any phase of employment during the performance of federal or federally assisted construction contracts in excess of \$10,000.

#### **EQUAL EMPLOYMENT OPPORTUNITY**

During the performance of the contract, the PROPOSER agrees as follows:

- 1. The Proposer will not discriminate against any employee or applicant for employment because of race, creed, sex, color, national origin, familial status, religious affiliation or handicap. The Proposer will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, creed, sex, color, national origin, familial status, religious affiliation or handicap. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Proposer agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by Yuma County setting forth the provisions of this non-discrimination clause.
- 2. The Proposers will, in all solicitation or advertisements for employees placed by or on behalf of the Proposers for Yuma County, state that all qualified applicants will receive consideration for employment without regard to their race, creed, sex, color, national origin, familial status, religious affiliation or handicap.
- The Proposer will cause the foregoing provisions to be inserted in all subcontracts for any work covered by this contract so that such provisions will be binding upon each subcontractor, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- 4. The Proposer will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his/her books, records, and accounts by Yuma County and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.
- 5. In the event of the Proposer's non-compliance with any provision of this contract or with any of such rules, regulations or orders, this Agreement may be canceled, terminated, or suspended in whole or in part and the Proposer may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- 6. The PROPOSER will include the provisions of the subparagraphs 12 (a) through (f) in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provision will be binding upon each subcontractor or vendor. The Proposer will take such action with respect to any subcontract or purchase order as Yuma County may direct as a means of enforcing such provisions including sanctions for non-compliance; provided, however, that in the even the Proposer becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by Yuma County, the Proposer may request the United States to enter into such litigation to protect the interests of the United States.

# AFFIRMATIVE ACTION FOR HANDICAPPED WORKERS SECTION 503

(if contract \$25,000 or over)

- 1. The Proposer will not discriminate against any employee or applicant for employment because of physical or mental handicap in regard to any position for which the employee or applicant for employment is qualified. The Proposer agrees to take affirmative action to employ, advance in employment and otherwise treat qualified handicapped individuals without discrimination based upon their physical or mental handicap in all employment practices such as the following: employment, upgrading, demotion or transfer, recruitment, advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.
- 2. The Proposer agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.
- 3. In the event of the Proposer's non-compliance with the requirements of this clause, actions for non-compliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.
- 4. The Proposer agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Director, provided by or through the contracting officer. Such notices shall state the Proposer's obligation under the law to take affirmative action to employ and advance in employment qualified handicapped employees and applicants for employment, and the rights of applicants and employees.
- 5. The Proposer will notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Proposer is bound by the terms of Section 503 of Rehabilitation Act of 1973, and is committed to take affirmative action to employ and advance in employment physically and mentally handicapped individuals.
- 6. The Proposer will include the provisions of this clause in every subcontract or purchase order of \$2,500 or more unless exempted by rules, regulations, or orders of the Secretary issued pursuant to Section 503 of the Act, so that such provisions will be binding upon each subcontractor with respect to any subcontract or purchase order as the Director of the Office of Federal contract Compliance Programs may direct to enforce such provisions, including action for non-compliance.

#### ACCESS TO RECORDS AND RECORDS RETENTION

The undersigned certifies, to the best of his or her knowledge and belief that:

- The individual, sole proprietor, partnership, corporation, and/or association agrees to permit Yuma County, U.S. Department of Commerce, and the Office of the Inspector General and/or their designated representatives to have access to all records for review, monitoring, and audit during normal working hours.
- 2. The individual, sole proprietor, partnership, corporation, and/or association agrees to retain all records for at least five years following the official "Closeout" date of the grant or the resolution of all audit findings, whichever is later.

#### **CONFLICT OF INTEREST**

The undersigned is fully aware that this contract is wholly or partially federally funded, and further, by submission of the bid or proposal that the individual or firm, certifies that:

- 1. There is no substantial interest, as defined by Arizona Revised Statute §§38-503 through 505, with any public official, employee, agency, commission, or committee with Yuma County.
- 2. Any substantial interest, as defined by Arizona Revised Statute §§38-503 through 505, with any public official, employee, agency, commission, or committee (including members of their immediate family) with Yuma County that develops at any time during this contract will be immediately disclosed to Yuma County.

#### ANTI-LOBBYING CERTIFICATION

The undersigned certifies, to the best of his or her knowledge and belief that:

- 1. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- The undersigned shall require that the language of this Certification be included in the award documents for all sub-awards to all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

#### **CERTIFICATIONS SIGNATURE FORM**

#### Return this page with proposal.

These Certifications (Civil Rights, Equal Employment Opportunity, Affirmative Action for Handicapped Workers - Section 503(Fly Ash), Access to Records and Records Retention, Conflict of Interest, Lobbying) are a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of these Certifications is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certifications shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(Typed name of Firm)	(Typed name of Official)
(Signature of Official)	(Date)